

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Spivak et al.

FOR

SPRAY BOOTH METHOD AND

APPARATUS

SERIAL NO.

10/805,689

FILED

March 22, 2004

LAST OFFICE ACTION

N/A

EXAMINER

N/A

GROUP ART UNIT

3752

ATTORNEY DOCKET NO.

31399.25504

Akron, Ohio 44311-4407

Customer #26781

CERTIFICATE OF MAILING

I hereby certify that this RENEWED PETITION FOR FILING BY OTHER THAN ALL OF THE INVENTORS UNDER 37 C.F.R. §1.47(a) is being deposited with the United States Postal Service as "Express Mail," Express Mail No. EV587421674US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the following date.

Wither 1, 2005

Shelly L. Davis

THE INVENTORS UNDER 37 C.F.R. §1.47(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants, Nicholas J. Mastandrea, Scott R. Thomason, Timothy Niedel and Paul Spivak hereby petition the Commissioner to accept the filing of the above-identified Renewed Petition under 37 C.F.R. § 1.47 (a) for the purpose of considering Applicant's petition to correct inventorship in a patent application under 37 C.F.R. § 1.48, a copy of which has been included herewith as Exhibit I. An affidavit signed by Deron A. Cook is attached hereto as Exhibit II providing background and proof of the pertinent facts concerning the investigation into the inventorship entity of the present application for patent.

Please note, Applicants do not wish, at this time, for the Application to be accepted without the signature of inventor, Paul Spivak. Rather, Applicants are renewing the Petition under § 1.47(a) to introduce a corrective amendment clarifying the inventorship entity, which necessitates the removal of Timothy Niedel as an inventor in the subjection application. The issue of whether Tim Niedel is an inventor of the present application has been the subject of some dispute, and question leading to Paul Spivak's unwillingness to initially sign the Oath and Declaration. Inventors Mastandrea, Thomason and Spivak and Timothy Niedel now fully agree and understand that Mr. Niedel is not an inventor and therefore should be removed from the subject application.

In conjunction with this renewed Petition under 37 C.F.R. § 1.47(a), Applicants have provided herewith the Petition under 37 C.F.R. § 1.48 to correct inventorship, an executed Oath and Declaration signed by inventors Mastandrea, Thomason and Spivak, statements under 37 C.F.R. § 1.342(a)(b)(1) from inventors Mastandrea, Thomason, Spivak agreeing that Mr. Niedel should be removed as an inventor and that the initial inclusion of Mr. Niedel was not done with

an intent to deceive, and a statement under 37 C.F.R. § 1.342(b)(2) from Timothy Niedel agreeing that he is not an inventor and should be removed the subject application.

In light of the universal consensus between all the affected parties that the inventorship entity of the present application includes only Mr. Mastandrea, Mr. Thomason and Mr. Spivak, Applicants respectfully request that the Amendment under 37 C.F.R. § 1.48 be accepted and approved in replacement of the Petition herein renewed.

Please charge any fees, additional fees due, or credit any overpayment, to Deposit Account No. 501210.

Respectfully submitted,

BROUSE MCDOWELL

Date

Telephone No.:

(330) 535-5711

Fax No.:

(330) 253-8601

#624584v1

Deron A. Cook

Reg. No. 52,767

Customer #26781





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Spivak et al.

FOR

SPRAY BOOTH METHOD AND

APPARATUS

SERIAL NO.

10/805,689

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March 22, 2004

LAST OFFICE ACTION

N/A

EXAMINER

N/A

GROUP ART UNIT

3752

ATTORNEY DOCKET NO.

31399.25504

Akron, Ohio 44311-4407

Customer #26781

CERTIFICATE OF MAILING

I hereby certify that this PETITION UNDER 37 C.F.R. § 1.48 FOR CORRECTION OF INVENTORSHIP IN A PATENT APPLICATION, OTHER THAN A REISSUE APPLICATION, PURSUANT TO 35 U.S.C. § 116 is being deposited with the United States Postal Service as "Express Mail," Express Mail No. EV587421674US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the following date:

Date

Shelly L. Davis

PETITION UNDER 37 C.F.R. § 1.48 FOR CORRECTION OF INVENTORSHIP IN A PATENT APPLICATION, OTHER THAN A REISSUE APPLICATION, PURSUANT TO 35 U.S.C. § 116

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
10/12/2005 HLE333 00000015 501210 10805689

TO ILICATO HEEDOO TOTOVIO

01 FC:1463

200.00 DA

Dear Sir:

Applicants hereby respectfully request that the subject application be amended to correct inventorship; namely, to remove Timothy Niedel as a co-inventor. This Petition has been filed in conjunction with Applicants' Renewed Petition under 37 C.F.R. § 1.47(a) and reflects efforts taken by and behalf of Applicants to clarify and resolve questions concerning the inventorship entity.

Enclosed herewith are statements under 37 C.F.R. § 1.324(b)(2) from each co-inventor and a statement under 37 C.F.R. § 1.324(b)(1) from Mr. Niedel, consenting to the removal of Timothy Niedel as a named inventor and stating that the error was made without deceptive invent.

By consensus of all the parties affected, the co-inventors are:

Paul Spivak, 32251 Walker Road, Avon Lake, OH 44012 Scott R. Thomason, 1176 River Run Drive, Macedonia, OH 44056 Nicholas J. Mastandrea, 14333 Bass Lake Road, Newbury, OH 44065

A revised oath signed by each of the three inventors in accordance with 37 C.F.R. § 1.63 has been submitted herewith.

The processing fee of \$130 has been submitted in accordance with 37 C.F.R. § 1.17(i).

Page 3 of 3

| \boxtimes | Please charge Deposit Account No. 501210 for the processing fee in accordance |
|---------------|---|
| with 37 C.F.R | . 1.17(i) in the amount of \$130. |

Please charge any additional fees due, or credit any overpayment, to Deposit Account \boxtimes No. 501210.

Respectfully submitted,

BROUSE MCDOWELL

Telephone No.:

(330) 535-5711 (330) 253-8601

Fax No.:

#624591v1

Deron A. Cook Reg. No. 52,767

Customer #26781

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 11//805,689 PPLN. NO. March 22, 2004 FILING DATE N/A PATENT NO. N/A **ISSUE DATE** Paul Spivak, Nicholas J. Mastandrea, and APPLICANT Scott R. Thomason SPRAY BOOTH METHOD AND TITLE OF INVENTION **APPARATUS** 31399.25504 AGENT'S FILE REFERENCE

Akron, Ohio 44311-4407

Customer #26781

CERTIFICATE OF MAILING

I hereby certify that this STATEMENT ATTESTING THAT THE INVENTORSHIP ERROR OCCURRED WITHOUT DECEPTIVE INTENT ON THE PART OF THE DELETED INVENTOR IN ACCORDANCE WITH THE PROVISIONS OF 37 C.F.R. § 1.324(b)(1) is being deposited with the United States Postal Service as "Express Mail," Express Mail No. EV587421674US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7th day of *Withw* 2005. By:

STATEMENT ATTESTING THAT THE INVENTORSHIP ERROR OCCURRED WITHOUT DECEPTIVE INTENT ON THE PART OF THE DELETED INVENTORS IN ACCORDANCE WITH THE PROVISIONS OF 37 C.F.R. § 1.324(b)(1)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Dear Sir:

By this STATEMENT applicant desires to establish that the inventorship error in the above-identified U.S. Patent occurred without deceptive intent on the part of the omitted inventor.

I, Timothy Niedel, do hereby state:

The inventorship error of incorrectly including myself, Timothy Niedel, as an inventor of the patent occurred without any deceptive intention on the part of myself, the new applicant.

Respectfully submitted,

Timothy Niedel

806 Trevitt Circle North

Euclid, OH 44143

#622459v1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.

10/805,689

FILING DATE

March 22, 2004

PATENT NO.

N/A

ISSUE DATE

N/A

INVENTOR(S)

Paul Spivak, Nicholas J. Mastandrea, and

Scott R. Thomason

TITLE OF INVENTION

SPRAY BOOTH METHOD AND

APPARATUS

AGENT'S FILE REFERENCE

31399.25504

Akron, Ohio 44311-4407

Customer #26781

CERTIFICATE OF MAILING

I hereby certify that this STATEMENT OF CURRENT NAMED INVENTOR AGREEING TO THE CHANGE OF INVENTORSHIP AS REQUIRED BY 37 C.F.R. § 1.324(b)(2) is being deposited with the United States Postal Service as "Express Mail," Express Mail No. EV587421674US addressed to: Commissioner for Patents, P.O.

2005.

Shelly L. Davis

STATEMENT OF CURRENT NAMED INVENTOR AGREEING TO THE CHANGE OF INVENTORSHIP IN ACCORDANCE WITH 37 C.F.R. § 1.324(b)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

By this STATEMENT Applicants desire to establish that the inventorship error in the above-identified application occurred without deceptive intent.

The following inventors hereby states:

#621571v1

The inventorship error of including Timothy Niedel as an inventor of the invention for the subject application occurred without any deceptive intention and we hereby acknowledge and agree with the change of inventorship; namely, the removal of Timothy Niedel as an inventor.

| Respectfully submitted, Paul Spivak |
|--------------------------------------|
| Nicholas J. Mastandrea |
| Scott R. Thomason |
| |

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.

10/805,689

FILING DATE

March 22, 2004

PATENT NO.

N/A

ISSUE DATE

N/A

INVENTOR(S)

Paul Spivak, Nicholas J. Mastandrea, and

Scott R. Thomason

TITLE OF INVENTION

SPRAY BOOTH METHOD AND

APPARATUS

AGENT'S FILE REFERENCE

31399.25504

Akron, Ohio 44311-4407

Customer #26781

CERTIFICATE OF MAILING

I hereby certify that this STATEMENT OF CURRENT NAMED INVENTOR AGREEING TO THE CHANGE OF INVENTORSHIP AS REQUIRED BY 37 C.F.R. § 1.324(b)(2) is being deposited with the United States Postal Service as "Express Mail," Express Mail No. EV587421674US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this day of Language 2005.

By: Spilly O. Will

Shelly L. Davis

STATEMENT OF CURRENT NAMED INVENTOR AGREEING TO THE CHANGE OF INVENTORSHIP IN ACCORDANCE WITH 37 C.F.R. § 1.324(b)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

By this STATEMENT Applicants desire to establish that the inventorship error in the above-identified application occurred without deceptive intent.

The following inventors hereby states:

The inventorship error of including Timothy Niedel as an inventor of the invention for the subject application occurred without any deceptive intention and we hereby acknowledge and agree with the change of inventorship; namely, the removal of Timothy Niedel as an inventor.

| | Respectfully submitted, |
|------------|-------------------------|
| Date | Paul Spivak |
| Date | Nicholas J. Mastandrea |
| 10/05/2005 | Scott R. Thomason |

#621571v1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.

10/805,689

FILING DATE

March 22, 2004

PATENT NO.

N/A

ISSUE DATE

N/A

INVENTOR(S)

Paul Spivak, Nicholas J. Mastandrea, and

Scott R. Thomason

TITLE OF INVENTION

SPRAY BOOTH METHOD AND

APPARATUS

AGENT'S FILE REFERENCE

31399.25504

Akron, Ohio 44311-4407

Customer #26781

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STATEMENT OF CURRENT NAMED INVENTOR AGREEING TO THE CHANGE OF INVENTORSHIP IN ACCORDANCE WITH 37 C.F.R. § 1.324(b)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

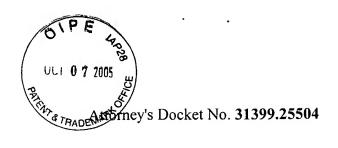
By this STATEMENT Applicants desire to establish that the inventorship error in the above-identified application occurred without deceptive intent.

The following inventors hereby states:

The inventorship error of including Timothy Niedel as an inventor of the invention for the subject application occurred without any deceptive intention and we hereby acknowledge and agree with the change of inventorship; namely, the removal of Timothy Niedel as an inventor.

| | Respectfully submitted, |
|------------------|-------------------------|
| Date | Paul Spivak |
| 10/05/05 Date | Nicholas J. Mastandrea |
| | Scott R. Thomason |
| Date | Scott K. Thomason |

#621571v1



COMBINED DECLARATION AND POWER OF ATTORNEY (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP) As a below named inventor, I hereby declare that this declaration is of the following type: (check one applicable item below) original design supplemental [NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.]

national stage of PCT

| [NOTE: If one | e of the following 3 items apply then complete and also attach ADDED PAGES | | | |
|---------------------------------------|--|--|--|--|
| FOR DIVISIONAL, CONTINUATION OR CIP.] | | | | |
| | | | | |
| | divisional | | | |
| | continuation | | | |

continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

[WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.]

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SPRAY BOOTH METHOD AND APPARATUS

SPECIFICATION IDENTIFICATION

| The specific | ation of | which: (complete (a), (b) or (c) | |
|--------------|----------|-------------------------------------|--|
| (a) | 0 | is attached hereto. | |
| (b) | | was filed on, Serial No; or | No. 0 /, by Express Mail |
| | 0 | was filed on, Serial No; or as | No. not yet know, by Express Mail |
| (c) | 0 | was described and claimed in PCT In | nternational Application No and as amended |
| | | under PCT Article 19 on | (if any). |

[NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.]

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by any amendment referred to above.

| | I acknowledge the duty to disclo | se to the Office all inf | formation known to the |
|----------------|-------------------------------------|--------------------------|------------------------|
| person to be m | aterial to patentability as defined | in § 1.56. | |

☐ In compliance with this duty there is attached an information disclosure statement, 37 CFR 1.97.

CLAIM PRIORITY TO FOREIGN APPLICATION

I hereby claim foreign priority benefits under Title 35, United States Code '119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) \Box no such applications have been filed.
- (e) \Box such applications have been filed as follows.

[NOTE: Where item (c) is entered above and the International Application which designated the U.S. claimed priority check item (e), enter the details below and make the priority claim.]

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

| COUNTRY | APPLICATION No. | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 USC 119 |
|---------|-----------------|-----------------------------------|-----------------------------------|
| | | | □ YES □ NO |
| | | | □ YES □ NO |
| | | | □ YES □ NO |
| | | | □ YES □ NO |
| | | | □ YES □ NO |

| all foreign afflication(s), if any filed more man 12 months | 3 |
|---|---|
| 6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION | |
| | |
| | |
| | |
| | |

CLAIM PRIORITY OF PROVISIONAL APPLICATION

| I hereby claim domestic priority benefits under Title 37, Code of Federal Regulations § |
|--|
| 1.78 (a)(3)-(4) of any provisional application(s) filed in accordance with Title 35, United States |
| Code § 111(b) and Title 37, Code of Federal Regulations § 1.51(a)(2) and § 1.53(b)(2). |

| | | _ | | | |
|-------|------|-----|----|-----|----|
| (comp | lete | (f) | or | (g) | I) |

| (f) | П | no such provisional applications have been filed. |
|-----|---|---|
| (ı) | | no such provisional applications have been |

| such provisional | applications have | been filed | as follows |
|------------------|-------------------|------------------------------------|---|
| | such provisional | such provisional applications have | such provisional applications have been filed |

[NOTE: Where item (g) is entered above, enter the details below and make the priority claim.]

DOMESTIC (PROVISIONAL) APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THIS NON-PROVISIONAL U.S. APPLICATION

| PROVISIONAL APPLICATION SERIAL NO. | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 CFR § 1.78(a)(4) |
|------------------------------------|-----------------------------------|--|
| 60/456,744 | 21 March 2003 | □ YES □ NO |
| | | □ YES □ NO |
| | | □ YES □ NO |
| | | □ YES □ NO |

CLAIM PRIORITY OF NON-PROVISIONAL APPLICATION

| I hereby claim domestic | priority benefits under Title 37, Code of Federal Reg | gulations |
|----------------------------------|---|-----------|
| § 1.78 (a)(1)-(2) of any non-pro | ovisional application(s) filed in accordance with Title | 35, |
| United States Code § 111(b) ar | nd Title 37, Code of Federal Regulations § 1.51(a)(2) | and § |
| 1.53(b)(2). | | |

| (comp | lata | A) | Or ! | (α) |
|----------|--------|-----|------|------------|
| (COIIID) | iele i | (1) | OI 1 | はおり |

| (f) □ no such non-provisiona | al applications have been filed. |
|------------------------------|----------------------------------|
|------------------------------|----------------------------------|

| (g) Such non-provisional applications have seen mea as remain | (g) | | such non-provisional a | pplications have | been | filed as | follov |
|---|-----|--|------------------------|------------------|------|----------|--------|
|---|-----|--|------------------------|------------------|------|----------|--------|

[NOTE: Where item (g) is entered above, enter the details below and make the priority claim.]

DOMESTIC (NON-PROVISIONAL) APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THIS NON-PROVISIONAL U.S. APPLICATION

| NON-PROVISIONAL APPLICATION SERIAL NO. | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 CFR § 1.78(a)(1)-(2) |
|--|-----------------------------------|--|
| | | □ YES □ NO |

Page 8 of 12

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Roger D. Emerson, Esq. 33,169

(check the following item, if applicable)

Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Deron A. Cook Brouse McDowell, LPA 388 S. Main Street, Ste. 500 Akron, Ohio 44311-4407 Deron A. Cook

Phone:

(330) 535-5711

Facsimile:

(330) 253-8601

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full name of first co-inventor:

Paul Spivak

Date: 9-79-05 Inventor's signature: Hal Spring

Country of Citizenship:

USA

Residence:

32251 Walker Road, Avon Lake, OH 44012

Post Office Address:

32251 Walker Road, Avon Lake, OH 44012

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Nicholas J. Mastandrea

Full name of second co-inventor:

10/05/05 Inventor's signature:

Country of Citizenship: USA

Residence: 14333 Bass Lake Road, Newbury, OH 44065

Post Office Address: 14333 Bass Lake Road, Newbury, OH 44065

Page 11 of 12

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full name of third co-inventor:

Scott R. Thomason

Date: 10/5/2005

Inventor's signature:

Country of Citizenship:

USA

Residence:

1176 River Run Drive, Macedonia, OH 44056

Post Office Address:

1176 River Run Drive, Macedonia, OH 44056

Page 12 of 12

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

| | Signature for third and subsequent joint inventors. Number of pages added: |
|--------------|---|
| □ incapa | Signature by administrator (trix), executor (trix) or legal representative for decreased or citated inventor. Number of pages added: |
| □ under : | Signature for inventor who refuses to sign or cannot be reached by person authorized 37 CFR 1.47. Number of pages added: |
| | *** |
| □ or con | Added pages to combined declaration and power of attorney for divisional, continuation, tinuation-in-part (CIP) application. Number of pages added: |
| | *** |
| | Authorization of attorney(s) to accept and follow instructions from representative |
| | *** |
| | further pages form a part of this Declaration then end this Declaration with this page heck the following item. |
| #621557 | ☐ This declaration ends with this page |

AFFIDAVIT OF DERON A. COOK

Now comes Deron A. Cook, being first duly sworn, states as follows:

- 1. My name is Deron A. Cook.
- 2. I am a patent attorney with Brouse McDowell, 388 South Main Street, Suite 500, Akron, Ohio 44311-4407.
- 3. Brouse McDowell serves as practioner for the Applicants ("Applicants").
- 4. I am one of the attorneys now responsible for case serial no. 10/805,689, entitled SPRAY BOOTH METHOD AND APPARATUS ("Patent Application").
- 5. The subject Patent Application was filed on March 22, 2004.
- 6. The Patent Application was filed without a signed Oath and Declaration.
- 7. On June 2, 2004, Applicants received a Notice to File Missing Parts of Non-Provisional application, requiring a signed Oath or Declaration and replacement drawings in compliance with 37 C.F.R. 1.84 and 37 C.F.R. 1.121.
- 8. On September 1, 2004, Applicants received a Notice of Incomplete Reply.
- 9. On information and belief, on or about November 17, 2004, Mr. Smith sent Mr. Spivak a letter with the Oath and Declaration requesting his signature for continued prosecution of the patent application.
- 10. On information and belief, Paul Spivak was initially unwilling to sign the Oath and Declaration as a result of a dispute over ownership of the Patent Application and the inclusion of Timothy Niedel as a co-inventor.
- 11. On or about January 7, 2005, Applicants filed a Petition For Filing By Other Than All Of The Inventors under 37 C.F.R. § 1.47(a). In support of the Petition, Applicant submitted the Affidavit of Timothy D. Smith, a patent agent with Brouse McDowell, who was initially one of the agents responsible for the Patent Application. Mr. Smith indicated in his Affidavit that he determined that there were four inventors of the Patent Application, Paul Spivak, Nicholas J. Mastandrea, Scott R. Thomason and Timothy Niedel.
- 12. On March 9, 2005, the Petition under 37 C.F.R. § 1.47(a) was dismissed.
- 13. On or about July 1, 2005, Timothy D. Smith left employment with Brouse McDowell.
- 14. As a result of Mr. Smith's departure the undersigned became one of the attorneys responsible for the Patent Application.
- 15. In August 2005, I contacted Scott Thomason to clarify whether Timothy Niedel was a co-inventor for the Patent Application. I explained to Mr. Thomason the requirements for inventorship and Mr. Thomason agreed that Mr. Niedel should not be a co-inventor.
- 16. On or about September 2, 2005, based on my conversation with Mr. Thomason, I sent Mr. Spivak, via certified mail, a draft of a statement prepared under 37 C.F.R. § 1.324(b)(1).
- 17. On or about September 14, 2005, I sent letters to inventors Mastandrea and Thomason enclosing draft statements prepared under 37 C.F.R. § 1.324(b)(1).
- 18. On or about September 14, 2005, I sent Timothy Niedel draft documents prepared under 37 C.F.R. § 1.324(b)(2) to remove him as a co-inventor of the Patent Application.
- 19. In late September 2005, Mr. Niedel contacted me, having received the documents removing him as an inventor. In the course of our conversation, Mr. Niedel agreed that he was not a co-inventor.

- 20. Inventors Mastandrea, Thomason and Spivak have all returned their statements under 37 C.F.R. § 1.324(b)(1) and Mr. Niedel has signed and returned his statement under 37 C.F.R. § 1.324(b)(2). Further, co-inventors Mastandrea, Thomason and Spivak have executed a new Oath and Declaration.
- 21. The undersigned believes that the inventorship entity has now been correctly identified to be Nicholas J. Mastandrea, Scott R. Thomason and Paul Spivak.

22. The undersigned has actively endeavored to clarify the inventorship entity with the inventors to secure appropriate corrective documents signed by the co-inventors and Timothy Niedel, and to file the appropriate amendment to correct inventorship in conjunction with a renewed petition under 37 C.F.R. § 1.47.

FURTHER AFFIANT SAYETH NAUGI

Deron A. Cook

Sworn and subscribed to me this

7th day of October, 2005.

Weather M. Baines

#624597v1

